

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
6 February 2003 (06.02.2003)

PCT

(10) International Publication Number
WO 03/010301 A1

(51) International Patent Classification⁷: **C12N 5/06**

(21) International Application Number: PCT/EP02/05411

(22) International Filing Date: 16 May 2002 (16.05.2002)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:
01402010.1 25 July 2001 (25.07.2001) EP

(71) Applicant (for all designated States except US): **I.D.M. IMMUNO-DESIGNED MOLECULES** [FR/FR]; 172, rue de Charonne, F-75011 Paris (FR).

(72) Inventors; and

(75) Inventors/Applicants (for US only): **NARDIN, Alessandra** [IT/FR]; 12, rue de Langeac, F-75015 Paris (FR).

KAISER, Andrew [FR/FR]; 9, rue Claude Monet, F-10120 St. Andre les Vergers (FR). **BOCCACCIO, Claire** [FR/FR]; 6, Avenue Paul Appel, F-75014 Paris (FR). **JACOD, Sylvie** [FR/FR]; 55, rue du Maréchal Foch, F-94360 Bry sur Marne (FR). **ABASTADO, Jean-Pierre** [FR/FR]; 56, rue Labrouste, F-75015 Paris (FR).

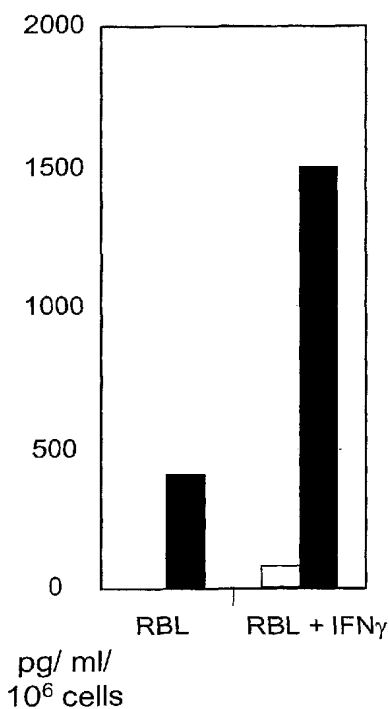
(74) Agents: **GROSSET-FOURNIER, Chantal** et al.; Grosset-Fournier & Demachy Sarl, 20, rue de Maubeuge, F-75009 Paris (FR).

(81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZM, ZW.

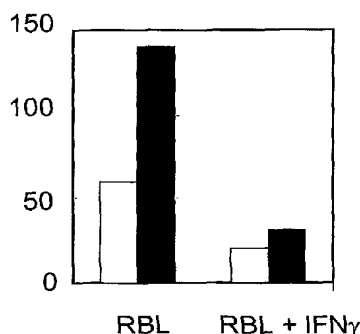
[Continued on next page]

(54) Title: NEW ISOLATED DENDRITIC CELLS, A PROCESS FOR PREPARING THE SAME AND THEIR USE IN PHARMACEUTICAL COMPOSITIONS

A- IL-12p70 secretion



B- IL-10 secretion



(57) Abstract: The invention relates to dendritic cells irreversibly triggered to maturation, which are CD14 positive, which express MHC class I with a median fluorescence intensity less than about 1500 and CD86 with a median fluorescence intensity less than about 500, as determined by immunofluorescence staining and flow cytometry analysis.

WO 03/010301 A1



(84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:

— with international search report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

NEW ISOLATED DENDRITIC CELLS, A PROCESS FOR PREPARING THE SAME AND THEIR USE IN PHARMACEUTICAL COMPOSITIONS

5

The present invention relates to new isolated dendritic cells, a process for preparing the same and their use in pharmaceutical compositions.

Dendritic cells are defined as the most potent antigen presenting cells able to stimulate both primary and secondary immune responses against specific exogenous antigen (Hart
10 “Dendritic cells: unique leucocyte populations which control the primary immune response” Blood, 1997, vol.90, p3245). *In vivo*, immature dendritic cells that have captured antigens in the periphery migrate through lymphatic vessels to T cell zones of lymphoid organs where they present epitopes deriving from these antigens in the context of MHC molecules and allow activation and proliferation of antigen-specific naive T cells.

15 Dendritic cells can be obtained from different tissue sources or from precursors present in blood or in bone marrow. Immature dendritic cells may be obtained from blood cells by differentiating monocytes using defined culture conditions. As an example monocyte derived dendritic cells can be prepared according to patent applications WO 94/26875, WO 96/22781, or WO 97/ 44441. Immature dendritic cells may also be prepared according to
20 Boyer et al. (Exp. Hematol. 1999, vol.27, pp751-761) or to Banchereau et al (Annu. Rev. Immunol., 2000, 18:767-911).

After being differentiated from blood monocytes, for example in presence of IL-13, dendritic cells present an immature phenotype : in particular they do not secrete (or secrete very few) IL-10 and IL-12.

25 Immature dendritic cells do not spontaneously mature *in vitro*. Without maturation agents, they die or return to macrophage type cells.

In vivo, during migration, dendritic cells undergo a maturation process that results in morphological and phenotypical changes. Maturation induces a reduced capacity of dendritic cells to capture antigens and an increased capacity of antigen presentation. The production of
30 cytokines is a property which is acquired upon dendritic cells maturation. Matured dendritic cells are capable to secrete immunostimulatory cytokines, like the bioactive form of IL-12 (IL-12p70) and IL-10. IL-12 is able to polarize the immune system towards a T helper 1 (Th1) cytotoxic response, whereas IL-10 induces a T helper 2 (Th2) response.

A Th1 type response is considered as an immune response, involving stimulation of antigen specific T lymphocytes CD8+, whereas a Th2 type immune response involves rather a stimulation of antibody response and possibly unresponsiveness of the cytotoxic lymphocytes to an antigen.

5 T helper 1 CD4 T cells will help cytotoxic CD8 T cells response, T helper 2 will help B cells for antibody production. A global equilibrium exists between the two types of response. Th2 cytokines like IL-4, IL-5 or IL-10 might interfere with Th1 responses, cause anergy and other various immunosuppressive effects. It is generally believed that in cancer or infectious diseases, the most effective responses are cytotoxic (CD8 T cells killing the tumor
10 cell or the infected cell), rather than humoral responses (antibodies).

There is currently a growing interest in the use of autologous antigen-loaded dendritic cells for the treatment of cancer and infectious diseases, as it appears to be a safe, well-tolerated and potentially effective cellular therapy. It is recognized that dendritic cells, depending on their stage of maturation and on the pattern of cytokines secreted, are powerful
15 modulators of T cell responses.

Possibilities for preparing *ex vivo* large quantities of dendritic cells have recently been developed, followed by a growing interest for the use of these cells in immunotherapy and as cellular vaccines.

One of the aims of the invention is to produce new dendritic cells which favor a Th1
20 immune response.

Another aim of the invention is to obtain new isolated dendritic cells, which can be easily and quickly obtained.

Another aim of the invention is to provide new dendritic cells which can be preserved until use and injected to a patient at appropriate timing, while preserving optimal activity.

25 The invention relates to dendritic cells irreversibly triggered to maturation which present the following characteristics :

- they are CD14 positive,
- they express MHC class I with a median fluorescence intensity of less than about 1500 and CD86 with a median fluorescence intensity of less than about 500, as
30 determined by immunofluorescence staining and flow cytometry analysis.

The term "maturation" is defined as the activation of immature highly phagocytic dendritic cells, resulting into phenotypic and functional modification of the cells. In particular, cells acquire the property to produce high levels of cytokines and lose their phagocytic capacity.

The term "dendritic cells irreversibly triggered to maturation" is defined as cells which have immature characteristics, such as low secretion of cytokines and expression of CD14, but which will mature in any cell culture medium.

5 The term "median fluorescence intensity" is defined as relative fluorescence intensities for each fluorochrome in a cell sample. Monoclonal antibodies used for the detection are directly coupled to fluorochromes (Boyer et al., Exp. Hematol., 27, 751-761, 1999).

10 A flow cytometer is designated to detect relative differences in these parameters, and does not provide absolute data in terms of unit measurements. According to FACS™ Academy, from Becton Dickinson Immunocytometry Systems, Computer Based Training, Volume I "Flow Cytometry and Immunology Basics": "Flow cytometer detects differences in size, relative granularity and fluorescence, if any, associated with particles. [...] A beam of laser light is projected across the cells. At the same time laser light hits the particle, any fluorescence present in or on the particle will fluoresce. Once excited, the intensity of fluorescence signal emitted should be proportional to the amount of the fluorescence
15 compound in the particle."

The triggered dendritic cells of the invention are CD14 positive, express MHC class I with a median fluorescence intensity less than about 1500 and CD86 with a median fluorescence intensity less than about 500, all of these properties corresponding to immature characteristics.

20 The dendritic cells of the invention secrete low levels of IL-12p70 and of IL-10, such as immature dendritic cells. According to a particular embodiment of the invention, dendritic cells irreversibly triggered to maturation secrete less than about 3000 pg/ ml of IL-12p70 and less than 500 pg/ml of IL-10, as determined by Elisa assay for 10^6 cells/ml.

25 According to a more particular embodiment of the invention, the dendritic cells irreversibly triggered to maturation present the following characteristics :

- they are CD14 positive,
- they express MHC class I with a median fluorescence intensity of less than about 700 and CD86 with a median fluorescence intensity less than about 300 (as determined by immunofluorescence staining and flow cytometry analysis),
- 30 - and they secrete less than about 80 pg/ ml of IL-12p70 and less than about 300 pg/ml of IL-10 (as determined by Elisa assay for 10^6 cells/ml).

According to a particular embodiment, the dendritic cells irreversibly triggered to maturation of the invention are CD83 negative. This absence of expression is a characteristic of an immature phenotype.

According to another embodiment, the dendritic cells of the invention present the following characteristics:

- CD83 expression with a median fluorescence intensity from about 3 to about 20
- CD14 expression with a median fluorescence intensity from about 20 to about 100
- 5 - MHC Class I expression with a median fluorescence intensity from about 400 to about 700
- CD86 expression with a median fluorescence intensity from about 100 to about 300 as determined by immunofluorescence staining and flow cytometry analysis, and:
- IL-12p70 secretion of 1 to about 80 pg/ml
- 10 - IL-10 secretion of about 15 to about 300 pg/ml.

as determined by ELISA assay, for a total of 10^6 cells/ml.

Dendritic cells irreversibly triggered to maturation present characteristics of both mature and immature phenotypes.

15 Expression range of CD83, absence of CD14 and high secretion of IL-12 are characteristics of mature phenotype.

Expression range of CD14 and absence of CD83 are characteristic of immature phenotype (For review see "Banchereau et al., 2000, Ann. Rev. Immunol.).

Dendritic cells of the invention are originated from immature dendritic cells derived from blood monocytes cultured for 1 to 16 hours, preferably 6 hours.

20 According to another embodiment, the dendritic cells of the invention have properties such that they can be arrested in their maturation process, and that they can resume maturation after this arrest, when cultivated in appropriate conditions.

This property is very interesting because said dendritic cells can be injected into a patient, for example for treatment of cancer. In particular, autologous cells taken from the patient can be treated with maturation factors to obtain dendritic cells of the invention, which
25 can be preserved until injection. They will achieve full maturation in the patient's tissues.

According to another embodiment of the invention, said dendritic cells have the properties of becoming mature *in vitro* in a culture medium containing no maturing factors and no cytokines, for a sufficient culture time.

30 The expression "containing no maturation factors and no cytokines" means that the culture medium can be devoid of the generally used maturation factors and cytokines such as IFN γ , poly I:C, CD40 ligand or antibody, lipopolysaccharide, TNF α , FLAT 3 ligand,

The expression "sufficient culture time" means a time sufficient for said cells to acquire characteristics of mature dendritic cells, in fact 16h to 40h. Generally speaking, it

means that these cells present a reduced capacity to capture antigens and an increased capacity of antigen presentation. In particular, matured cells have acquired capacity to secrete more than about 1000 pg/ ml of IL-12p70 and to induce Th1 immune response.

According to another embodiment of the invention, said dendritic cells have the properties of becoming mature *in vivo* after injection to a patient.

When injected *in vivo* to patients, said dendritic cells will complete their maturation and produce the cytokines required for effective specific T cells stimulation. Serum of the patient is an appropriate medium for maturation of said cells. Once injected, dendritic cells migrate to T cell areas following the progression of their activation. The present invention purposes enable the practitioner to inject optimally effective dendritic cells for the induction of cytotoxic T cell immune response.

The present invention also relates to dendritic cells, loaded with a drug, a nucleic acid or an antigen of interest, for example a tumoral antigen. The dendritic cells of the invention can also be loaded with lysates of tumor cells, in particular melanoma tumor cell lines (See example 9).

Cells may be loaded with an antigen by phagocytosis, pinocytosis, affinity binding, fusion, nucleic acid (DNA, RNA) transfer or receptor mediated uptake, according to methods known by a man skilled in the art.

According to another embodiment, the dendritic cells of the invention promote the development of T helper CD4+ T cells, and activate cytotoxic CD8+ T lymphocytes specific for an antigen, after previous contact between said antigen and phagocytosing dendritic cells.

Activation of cytotoxic CD8+ T lymphocytes induces secretion of IFN γ by these cells. Thus, induction of Th1 response can be followed by Elispot assay for IFN γ release.

Functionally, these dendritic cells are very powerful in the *in vitro* generation of antigen-specific CD8 T cell even in the absence of CD4 help and without additional exogenous cytokines, conditions in which immature dendritic cells are not capable of generating and sustaining a CD8 T cell response (See examples 5 and 6).

The present invention also relates to a composition of dendritic cells irreversibly triggered to maturation, under frozen form in an appropriate cryopreservative medium.

An appropriate medium is for example composed of 10% autologous serum + 10% dimethylsulfoxide in phosphate buffer saline (See example 9).

Cells of the invention can be kept frozen at temperatures below - 80°C until use, which is an industrial advantage.

The present invention also relates to a process for obtaining dendritic cells irreversibly triggered to maturation, which present the following characteristics : they are CD14 positive, they express MHC class I with a median fluorescence intensity inferior to about 1500 and CD86 with a median fluorescence intensity inferior to about 500 (as determined by immunofluorescence staining and flow cytometry analysis), comprising the step of contacting immature dendritic cells derived from blood monocytes and incubating them for 1 to 16 hours, preferably 6 h, with a combination of two factors :

- a- Cytokine or agonist of cytokine or cytokine inducing factor,
- and b- Bacterial mixture of membrane fractions and/or ribosomal fractions, or ligand or an agonist, said ligand or its agonist being different from a cytokine.

In a particular embodiment of the invention, the process as described leads to obtain dendritic cells irreversibly triggered to maturation, which present the following characteristics : they are CD14 positive, they express MHC class I with a median fluorescence intensity inferior to about 700 and CD86 with a median fluorescence intensity inferior to about 300 (as determined by immunofluorescence staining and flow cytometry analysis), and they secrete less than about 80 pg/ ml of IL-12p70 and less than about 300 pg/ ml of IL-10 (as determined by Elisa assay for 10^6 cells/ml).

Combination of two factors is preferably composed of a cytokine, like IFN γ and a bacterial membrane fraction.

By means of kinetics studies, we demonstrated that a short *in vitro* contact of immature dendritic cells with maturation agents is sufficient to trigger a maturation process that then proceed and fully complete maturation spontaneously after removal of the maturation agents. As shown in example 6, 6 hours incubation is the optimal time to produce dendritic cells able to generate CD8 cells which are specific for given antigen. As shown in examples 4 and 8, a short time incubation with maturation agents is not sufficient to induce high levels of IL-10 secretion.

After 16 hours of incubation, obtained cells show mature characteristics and thus do not fulfill the definition of dendritic cells irreversibly triggered to maturation.

The term "bacterial membrane" is defined as the internal cytoplasmic membrane and includes the intermembranal space, and exclude the external membrane. Schematic representation of membrane is shown in "Drugs, 1997, Adis international limited", p34 figure 2.

The term "membrane extracts" is defined as bacterial extracts enriched in the same membrane fractions. Membrane extracts or fractions correspond to any extract or fraction

containing these membranes, purified or partially purified from a bacterial culture. The process of preparation of such extracts comprises at least a step of lysis of the bacteria obtained after the culture, and a step of separation of the fraction containing bacterial membranes, in particular by centrifugation or filtration.

5 The term "ribosomal extracts" is defined as bacterial extracts containing ribosomal fractions, and particularly single and/or double stranded ribonucleic acid. Ribosomal extracts or fractions correspond to any extract containing ribosomes, purified or partially purified from a bacterial culture. The process of preparation of such extracts comprises at least a step of lysis of the bacteria obtained after the culture, and a step of separation of the fraction
10 containing bacterial ribosomes from the total lysate, in particular by centrifugation or filtration.

There are several known agents used for the maturation of dendritic cells, such as poly IC, ligands of CD40, anti-CD40 antibodies, endotoxins, living bacteria, lipopolysaccharide, culture supernatants and cocktail of agonistic cytokines, including TNF α .

15 The interferon- γ acts in synergy with the maturation agent to increase maturation characteristics of dendritic cells and their stimulating phenotype.

Ribomunyl® (International Non-proprietary Name, or Generic name: Ribosomal and membranar bacterial fractions, membranar proteoglycans) is known for its non specific natural immunostimulatory effect. It contains both proteoglycans from *Klebsiella pneumoniae*
20 (0,015 mg in a dose of lyophilisate) and ribosomal fractions containing 70 % RNA from 4 different bacterial strains, *Klebsiella pneumoniae* (35 parts), *Streptococcus pneumoniae* (30 parts), *Streptococcus pyogenes* group A (30 parts) and *Haemophilus influenzae* (5 parts) (0,01 mg of ribosomal extracts in a dose of lyophilisate). Ribomunyl was shown to stimulate the general innate immune response by acting on polymorphonuclear cells (PMNs) and
25 macrophages, to increase the production of several cytokines (IL-1, IL-6, IL-8, TNF α , CSF), and to be able to activate natural killer cells.

Heat shock protein 70 has been identified as a potential maturation factor for some monocytes (Kuppner et al., 2001); it can effectively be combined to poly I:C or to a cytokine to trigger immature dendritic cells to maturation.

30 The present invention also relates to a process for the preparation of dendritic cells irreversibly triggered to maturation, which are CD83 negative.

In a particular embodiment of the invention, the used cytokine in the process may be IFN γ and the used cytokine inducing factor may be poly I:C.

Poly I:C induces secretion of IFN γ .

In a particular embodiment of the invention, the used bacterial mixture of membrane fractions and/or ribosomal fractions is a membrane subfraction of one strain of bacteria. In another embodiment, the membrane subfraction can be a purified protein obtained from said
5 membrane subfraction.

In a particular embodiment of the invention, the used bacterial mixture of membrane and/or ribosomal fractions is Ribomunyl® (Inava Laboratory, Pierre Fabre).

Combination of Ribomunyl and IFN γ is more efficient to induce IL-12 secretion by dendritic cells than use of Ribomunyl alone (see example 3).

10 In a particular embodiment of the invention, the used membrane subfraction is FMKp (*Klebsiella pneumoniae* membrane fraction). The use of FMKp until Ribomunyl in the process of the invention leads to a more important secretion of cytokines by obtained dendritic cells (Compare results of examples 1C, 3A and 3B with example 7C).

In particular the used cytokine in the process is IFN γ and the membrane subfraction is
15 FMKp. Preferably the concentration of IFN γ is about 500 U/ml and the used concentration of FMKp is about 1 μ g/ml.

In a particular embodiment of the invention, the used ligand is an antibody anti-CD40 or a CD40 ligand.

In a particular embodiment of the invention, the used ligand is an inducible Heat shock
20 protein 70 or isolated polypeptide sequences from it.

The present invention also concerns the irreversibly triggered dendritic cells liable to be obtained according to the process described in the present application.

The invention also relates to mature dendritic cells which have a secretion of IL-12p70 higher than secretion of IL-10, i.e. where ratio IL-12p70 / IL-10 secretion is superior than 1. In
25 particular the invention relates mature dendritic cells which secrete more than about 1000 pg/ml of IL-12p70 and less than about 100 pg/ml of IL-10 (as determined by Elisa assay for 10⁶ cells/ml) for at least 24 hours, and stimulate Th1 and cytotoxic immune response.

The associated phenotypic modifications are the increase in CD80, CD86, CD83,
30 MHC class I and II molecules cell surface expression and the decrease in CD14 surface expression. The functional changes are the loss of phagocytic properties, the acquisition of migration abilities, and changes in the cytokine and chemokine expression profile, and particularly an increased IL-12 secretion.

The invention also relates to a process for preparing mature dendritic cells from dendritic cells irreversibly triggered to maturation, which comprises a step of culture of said irreversibly triggered dendritic cells, without exogenous maturation factor nor cytokine added, *in vitro* or *in vivo*.

5 The invention also relates to the above defined mature dendritic cells such as obtained by the process.

The present invention also relates to pharmaceutical compositions containing as active substance dendritic cells irreversibly triggered to maturation, having interiorized antigens, preferably vaccinal antigens, in association with a pharmaceutically acceptable vehicle.

10 The term "vaccinal antigens" is defined as antigens inducing an immune response.

The dendritic cells according to the invention are able to act on precise T cells subpopulations. This means that the dendritic cells according to the invention are able to stimulate or to regulate Th2/Th1 immune response. Dendritic cells described in the state of the art are able not only to induce an *in vivo* antigen-specific proliferation of T cells, thus
15 leading to an antigen specific increased cytotoxicity and immunostimulation, but also to induce *in vivo* regulatory T cells and therefore inhibition of antigen-specific cytotoxic T cells, leading to unresponsiveness to a specific antigen.

Dendritic cells of the invention possess only strong immunostimulatory properties via Th1 immune response mainly and are suitable for clinical vaccinal use.

20 An induced immune response might be characterized by an *in vivo* clinical immune response against a given pathogen or a tumour, leading to its decrease or its elimination. *In vitro*, this may be measured, for dendritic cells, in a immunostimulation assay of antigen-specific CD8 cytotoxic T lymphocytes.

The present invention also relates to cellular vaccine composition containing as active
25 substance dendritic cells irreversibly triggered to maturation, in a amount of about 10^4 to about 10^9 , and preferably about 10^5 to about 10^7 of said cells per vaccinal dose.

The term "cellular vaccine composition" is defined as dendritic cells having processed and presenting vaccinal antigens.

30 **Legends of the figures:**

Abbreviations used :

Anti- CD40 mAb= monoclonal Antibody against CD40

DC = Dendritic cell

FMKp = *Klebsiella pneumoniae* membrane fraction

HLA-ABC = Histocompatibility Class I Molecules

iDC = Immature dendritic cell

IFN γ = Interferon gamma

5 Isot Ctr = Isotype control

IVS = *in vitro* stimulation

MFI = Median Fluorescence Intensity

Poly I:C = Polyriboinosinic Polyribocytidylic Acid

RBL = Ribomunyl^R

10

Figures 1A, 1B, 1C : Ribomunyl^R and IFN γ triggered dendritic cells are committed to become fully mature

Immature dendritic cells were incubated for 6h with Ribomunyl (RBL) + IFN γ , washed, and further cultured for 34h.

15 White bars represent measures at time point 6h (after 6h triggering with RBL + IFN γ), black bars represent measures at time point 40h (after 6h triggering with RBL + IFN γ and 34h of culture without any maturation factor).

To follow maturation, dendritic cells were stained with anti-CD14, anti-CD83, anti-HLA ABC and anti-CD86 antibodies, and the fluorescence analysed with flow cytometer. The expressions of the markers CD14, CD83 (1A), HLA-ABC and CD86 (1B) at time points 6h and 40h are expressed as Median Fluorescence Intensity arbitrary units (Y axis).

20

Concentration of IL-10 and IL-12p70 in culture supernatants was measured at time points 6h and 40h by ELISA (1C), and is expressed in pg/ml/10⁶ cells (Y axis).

25

Figures 2A, 2B, 2C : Poly I:C and antibody anti-CD40 triggered dendritic cells are committed to become fully mature

Immature dendritic cells were incubated for 6h with anti-CD40 mAb + Poly I:C, washed, and further cultured for 34h.

White bars represent measures at time point 6h (after 6h triggering with poly I:C + anti-CD40 mAb), black bars at time point 40h (after 6h triggering with poly I:C + anti-CD40 mAb and 34h of culture without any maturation factor).

30

To follow maturation, dendritic cells were stained with anti-CD14, anti-CD83, anti-HLA-ABC and anti-CD86 antibodies, and the fluorescence analysed with flow cytometer.

The expression of the markers CD14, CD83 (2A), HLA-ABC and CD86 (2B) is expressed as Median Fluorescence Intensity arbitrary units (Y axis).

Concentration of IL-10 and IL-12p70 in culture supernatants was measured at time points 6h and 40h by ELISA (2C), and is expressed in pg/ml/10⁶ cells (Y axis).

5

Figures 3A, 3B : Comparison of maturation effects of Ribomunyl alone and Ribomunyl in association with IFN γ on cytokines secretion by dendritic cells

Immature dendritic cells were incubated 6h with RBL alone or RBL + IFN γ , washed, and further cultured for 34h.

10

White bars represent measures at time point 6h (after 6h triggering with RBL with or without IFN γ), black bars at time point 40h (after 6h triggering with RBL with or without IFN γ , and 34h of culture without any maturation factor).

Quantities of cytokines IL-12p70 (3A) and IL-10 (3B) in culture supernatants were measured at time points 6h and 40h, by ELISA. Concentration of cytokines is expressed in pg/ml/10⁶ cells (Y axis).

15

Figure 4 : IL-10 secretion requires longer incubation times of dendritic cells in presence of RBL + IFN γ

Immature dendritic cells were incubated :

20

- 6h with RBL + IFN γ , washed, and further cultured 34h,
- or 40h with RBL + IFN γ .

Quantity of secreted IL-10 in culture supernatants was measured by ELISA :

25

- After 6h of stimulation with RBL + IFN γ (black bar / white points)
- After 40h of culture, comprising 6h of stimulation with RBL + IFN γ (white bar / black points)
- After 40h of stimulation with RBL + IFN γ (white bar / black shading)

Concentration of IL-10 is expressed in pg/ml/10⁶ cells (Y axis).

30

Figure 5 : Triggered dendritic cells pulsed with peptide antigen can generate antigen specific CD8 T cells even in absence of exogenous cytokines.

Immature dendritic cells were incubated for 6 hours in absence of maturation stimuli (iDC), in presence of anti-CD40 mAb + Poly I:C or of RBL + IFN γ . Cells were pulsed with peptide just before the end of maturation time, then harvested, washed and used to stimulate

CD8 T cells. After *in vitro* stimulation with peptide-pulsed dendritic cells, IFN γ release by CD8 T cells was assayed by ELISPOT assay using T2 cells pulsed with Melan A peptide as stimulators.

Different symbols represent each donor. Bars show average values for each condition of stimulation. Y axis shows number of specific spot forming cells.

Figure 6 : Dendritic cells triggered by a 6 h incubation with RBL + IFN γ are optimal for the generation of antigen specific effector CD8 T cells

Immature dendritic cells were incubated in absence of maturation stimuli (iDC) or in presence of RBL + IFN γ for 3, 6 or 16 hours. Cells were pulsed with peptide just before the end of maturation time, then harvested, washed and used to stimulate CD8 T cells. After 2 stimulations with peptide-pulsed dendritic cells, IFN γ release by CD8 T cells was assayed by ELISPOT assay using T2 cells pulsed with Melan A peptide as stimulators.

Different symbols represent each donor. Bars show average values for each condition. Y axis shows number of specific spot forming cells.

Figures 7A, 7B, 7C : FMKp and IFN γ triggered dendritic cells are committed to become fully mature

Immature dendritic cells were treated with FMKp and IFN γ for 6 hours. Cells were washed at 6 hours and analysed immediately or further cultured in absence of maturation factors until the 24 hours time point.

White bars represent measures at time point 6h (after 6h triggering with FMKp + IFN γ), black bars represent measures at time point 24h (after 6h triggering with FMKp + IFN γ and 18h of culture without any maturation factor).

To follow maturation, dendritic cells were analysed for their expression of markers CD14 and CD83 (1A), and HLA-ABC and CD86 (1B) at both time points. Expression of the markers is expressed as median fluorescence intensity arbitrary units (Y axis).

Concentration of IL-12p70 and IL-10 in culture supernatants was measured at both time points by ELISA (1C) and is expressed in pg/ml/10⁶ cells (Y axis).

Figure 8 : IL-10 secretion by dendritic cells requires a long time incubation of cells in presence of FMKp + IFN γ

Immature dendritic cells were treated with FMKp and IFN- γ for 3 h, 6h or 40h. Cells stimulated 3h or 6h were washed and either analysed at time point 3 or 6 hours, or further cultivated in medium without any maturation factor, and IL-10 secretion was then measured at time point 24 hours.

5 Quantity of secreted IL-10 in culture supernatants was measured by ELISA :

- after 3h of stimulation with FMKp + IFN γ (white bar)
- after 6h of stimulation with FMKp + IFN γ (white bar / black points)
- after 24h of culture comprising 3h of stimulation with FMKp + IFN γ (black bar)
- after 24h of culture comprising 6h of stimulation with FMKp + IFN γ (black bar / white points)
- after 40h of stimulation with FMKp + IFN γ (white bar / black shading).

Concentration of IL-10 is expressed in pg/ml/ 10^6 cells (Y axis).

Figure 9 : Loading of dendritic cells with melanoma cell lysate followed by freezing / thawing steps do not perturb the maturation process

Immature dendritic cells were loaded with Colo829 lysate by overnight incubation, and then treated with FMKp and IFN- γ for 6 hours. After washes, cells were frozen in 4% Albumin / DMSO and then thawed.

Freshly thawed cells were resuspended in albumin 4%, incubated for 2h at 4°C in 1 ml syringes, passed through a 25G needle after shaking of the syringes, and cultured 18 hours in complete AIMV medium without any maturation stimuli.

IL-12p70 and IL-10 secretions were assayed by ELISA :

- after loading with Colo829 lysate, and 6h incubation with FMKp and IFN γ , (white bars)
- after loading with Colo829 lysate, 6h incubation with FMKp and IFN γ , freezing, thawing, and 18h culture in AIMV medium (black bars).

Concentration of IL-12p70 and IL-10 in culture supernatants is expressed in pg/ml/ 10^6 cells (Y axis).

Examples :

Abbreviations used :

Anti- CD40 mAb= monoclonal Antibody against CD40

DC = Dendritic cell

FMKp = *Klebsiella pneumoniae* membrane fraction

HLA-ABC = Histocompatibility Class I Molecules

5 IDC = Immature dendritic cell

IFN γ = Interferon gamma

IVS = *in vitro* stimulation

MFI = Median Fluorescence Intensity

PBS = Phosphate buffer saline

10 Poly I:C = Polyribonucleic Polyribocytidylic Acid

RBL = Ribomunyl^R

Example 1 : Ribomunyl^R and IFN γ triggered dendritic cells are committed to become fully mature after *ex vivo* culture

15

Dendritic cells :

Immature dendritic cells were prepared by culture of peripheral blood monocytes and elutriated, according to the patent applications WO 97/44441, and to Boyer et al. (Exp. Hematol., 1999, 27, 751-761). Briefly, dendritic cells were differentiated in AIMV medium supplemented with 500 U/ml GM-CSF (Leucomax, Novartis Pharma) and 50 ng/ml IL-13 (Sanofi Synthelabo) (= complete AIMV medium), and elutriated after 7 days of culture.

20

Maturation :

Dendritic cells were cultured in complete AIMV medium (Life Technologies, Paisley PA49RF,GB) for 6 hours in presence of Ribomunyl (1 ug/ml) and IFN γ (500 U/ml). Each vial of lyophilised Ribomunyl^R (Inava Laboratory, Pierre Fabre, Paris, France) contains 0,010 mg of ribosomal fractions from *K. pneumoniae*, *S. pneumoniae*, *S. pyogenes* and *H. influenzae*, and 0,015 mg of membrane fractions from *K. pneumoniae*. IFN γ (Imukin) was obtained from Boehringer Ingelheim France. Cells were washed at 6 hours and further cultured in complete AIMV medium (in absence of maturation factors) until the 40 hours time point.

25

30

Phenotypic analysis :

To follow maturation, dendritic cells were analysed for their expression of CD83, CD86, CD14 and HLA-ABC markers at time points 6h and 40h. Dendritic cells were suspended in phosphate buffer saline (PBS) supplemented with 1% foetal calf serum. Cells were incubated for 30 mn on ice with the following FITC or PE-conjugated specific monoclonal antibodies or isotype matched controls : anti-CD83, CD86, MHC Class I, mouse IgG2b, mouse IgG2a (Immunotech, Marseille, France), anti-CD14 (Becton Dickinson, St Jose, CA). Cells were then washed in PBS and resuspended in PBS containing TO-PRO 3 at 3 nM, to exclude death cells from analysis.

Flow cytometry analysis was performed with a Becton Dickinson cytometer with a CellQuest software. Results are expressed as Median Fluorescence Intensity (MFI) values (arbitrary units).

Cytokine detection :

At time points 6h and 40h, culture supernatants were assayed by ELISA for IL-12p70 and IL-10 secretion by commercial ELISA, performed using antibody pairs from R&D Systems Europe (Abingdon, UK) according to manufacturer's instructions.

Results :

Results are presented on figures 1A- CD14 and CD83 expression; 1B- HLA-ABC and CD86 expression; 1C- cytokines secretion. Negative controls (isotype controls) for FACS analysis are shown.

After 6h of incubation with maturation factors, dendritic cells present immature cells characteristics : cells express CD14 but very low quantities of CD83. HLA-ABC and CD86 are not significantly upregulated. Secretion of IL-12p70 and IL-10 is very low.

After wash and further culture until 40h, cells present mature cells characteristics : they are CD14 negative, express CD83 with a fluorescence intensity of 49 (about 95% positive cells), HLA-ABC with fluorescence intensity of 3460 and CD86 with fluorescence intensity of 1640. IL-12p70 secretion increases dramatically, while IL-10 secretion remains low.

In conclusion, dendritic cells become mature during culture in absence of maturation factors, after they have been triggered to maturation during a 6h incubation with RBL + IFN γ .

Example 2 : Poly I:C and antibody anti-CD40 triggered dendritic cells are committed to become fully mature after *ex vivo* culture

Immature dendritic cells were prepared as described in example 1.

Dendritic cells were incubated in complete AIMV medium for 6 hours in the presence of antibody anti-CD40 (2 µg/ml) and poly I:C (100 µg/ml), then washed and further cultured for 34h.

Phenotype and cytokines secretion were analysed as described in example 1.

Results :

Results are presented on figures 2A- CD14 and CD83 expression; 2B- HLA-ABC and CD86 expression; 2C- cytokines secretion. Negative controls (isotype controls) for FACS analysis are shown.

As demonstrated for combination RBL + IFN γ , a 6h incubation with anti-CD40 mAb and poly I:C was sufficient to trigger dendritic cells maturation. At the 6h time point, cells present immature characteristics although after wash and 34h culture with no maturation factors, dendritic cells express high levels of HLA-ABC, secrete IL-12p70 (85 pg/ml) but low quantity of IL-10 (20 pg/ml).

Example 3 : Comparison of maturation effects of Ribomunyl alone and Ribomunyl in association with IFN γ cytokines secretion by dendritic cells

Immature dendritic cells were treated for 6 h with RBL + IFN γ (as described in example 1) or with RBL alone (1 µg/ml). Cytokines secretion was assayed at the 6 h and 40h time points, as previously described.

Results :

Results are presented on figures 3A- IL-12p70 secretion; 3B- IL-10 secretion.

From 0 to 6 h (white bar), no important IL-12 secretion was induced. IL-10 secretion remains low (immature DC usually secrete low amounts of IL-10). During further 34h of culture with no maturation factors and no cytokine, immature dendritic cells incubated with RBL alone secrete IL-12p70 (400 pg/ml/ 10⁶ cells) and some IL-10 (140 pg/ml), combination of RBL with IFN γ used for triggering dendritic cells to maturation leads to a better secretion of IL-12p70 (1500 pg/ml/ 10⁶ cells) and a reduced IL-10 secretion (31 pg/ml). Combination

of two factors permits to obtain dendritic cells which present a high ratio between IL-12p70 secretion and IL-10 secretion.

Example 4 : Secretion of IL-10 requires longer incubation times of dendritic cells in presence of RBL + IFN γ .

Immature dendritic cells were treated for 6 h with RBL + IFN γ , then washed and further cultured for 34 h with no maturation factor and no cytokine, or treated for 40 h with same maturation factors. Cytokine secretion was assayed at 6 h and 40 h by ELISA.

Results :

Results are presented on figure 4; after 6h treatment only 20 pg/ml of IL-10 were secreted, and only 31 pg/ml during the 34h after wash, while 1150 pg/ml could be secreted if cells were incubated in presence of the maturation stimuli for the whole 40h period. Thus, we conclude that long incubation times in presence of maturation stimuli will result in higher IL-10 secretion. Short time of incubation of dendritic cells leads to limited IL-10 secretion during maturation phenomenon. This process of maturation permits to obtain matured dendritic cells, which secrete mainly IL-12 .

Example 5 : Triggered dendritic cells pulsed with peptide antigen stimulate antigen specific T cells to release IFN γ as measured by ELISPOT

Immature dendritic cells were prepared as described in example 1.

Dendritic cells were cultivated or not in complete AIMV medium for 6 hours in presence of RBL + IFN γ or anti-CD40 mAb + Poly I:C. Cells were pulsed with peptide before the end of maturation time, then harvested, washed and used to stimulate CD8 T cells.

Generation of Melan-A specific CTL

Dendritic cells were pulsed for 2 h with Melan-A peptide (10 μ g/ml) and β 2 microglobulin (5 μ g/ml) at 37°C, treated with mitomycin C (25 μ g/ml) for the last 30 min of pulsing, and washed three times. Purified autologous CD8 T cells (1.5×10^5 /well) were mixed with peptide-pulsed DC (3×10^4 /well) in microwells in complete medium (Iscove's medium supplemented with 10% autologous serum, arginine, asparagine and glutamine) in absence of

exogenous cytokines. In all experiments, 8 CD8 microcultures were stimulated for each dendritic cells condition.

On day 7, autologous dendritic cells that were thawed the day before and matured for 6h were pulsed with the Melan-A peptide and used to restimulate the CD8 T cells still in
5 absence of exogenous cytokines.

ELISPOT assay for IFN γ release

CD8 T cells generated by 2 in vitro stimulations (IVS) with DC-peptide were added to nitrocellulose 96-well plates precoated with the primary anti-IFN γ mAb (300 CD8/well).
10 Individual microcultures were tested in duplicate. HLA-A2 pos. stimulators (T2 or autologous EBV-B cells) pulsed with the Melan-A peptide (or PSA1 as control peptide) were added at 5×10^4 /well. T cells stimulated with PHA-L were used as positive control. After 20 h incubation at 37° C, plates were washed, incubated with biotinylated second mAb to IFN γ and stained with Vectastain Elite kit. Spots Forming Cells were counted with ELISPOT reader.
15 Background from T cells stimulated with target cells + control peptide was subtracted for analysis and was never important.

Results :

Results are shown in figure 5 and are expressed by "specific spot forming cells" for
20 300 CD8 T cells. In absence of exogenous cytokines, immature dendritic cells are not able to generate antigen specific effector T cells. However, dendritic cells triggered to maturation are able to prime antigen-specific CD8 T cells. Poly I:C+Ab anti-CD40 dendritic cells triggered induced a reasonable response although RBL + IFN γ triggered dendritic cells are very efficient.

Example 6 : Dendritic cells triggered by 6 h incubation with RBL + IFN γ optimally stimulate antigen specific T cells as measured by IFN γ ELISPOT

Immature dendritic cells were treated for different times (0, 3, 6 and 16h) with RBL +
30 IFN γ and pulsed with Melan-A peptide as described in example 5. Briefly, cells were pulsed with peptide before the end of maturation time, then harvested, washed and used to stimulate CD8 T cells in absence of exogenous cytokines. IFN γ release by CD8 T cells after 2 IVS was assayed by ELISPOT as described in example 5.

Results :

In this experiment, different times of incubation with maturation stimuli were compared, in the aim to determine the optimal triggering time. Results are shown in figure 6. Dendritic cells triggered by a 6h incubation in presence of RBL + IFN γ are the most effective in the generation of antigen-specific CD8 T cells. However, DC triggered for 3 or 16h are also able to induce a CD8 T cell response.

Example 7 : FMKp and IFN γ triggered dendritic cells are committed to become fully mature after *ex vivo* culture :

Immature dendritic cells were prepared as described in example 1.

Maturation :

Immature dendritic cells were incubated at 2×10^6 cells/ml/well in complete AIMV medium (i.e. AIMV supplemented with GM-CSF and IL-13). They were treated with FMKp (1 μ g/ml, furnished by Pierre Fabre Medicament, France) and 500 U/ml of IFN- γ for 6 hours. Cells were washed at 6 hours and analysed immediately or further cultured in complete AIMV medium (in absence of maturation factors) until the 24 hours time point.

Phenotypic analysis :

To follow maturation, dendritic cells were analysed for their expression of CD83, CD86, CD14 and HLA-ABC markers at time points 6h and 24h.

Cells were resuspended in PBS containing 1% foetal calf serum and 0,1% sodium azide. They were stained with the following monoclonal antibodies: HLA-ABC-FITC, CD86-PE, CD14-FITC and CD83-FITC (Immunotech) for 20 mn at 4°C. After washing in PBS, cells were resuspended in PBS containing 3 nM TO-PRO-3 (Molecular Probes) to exclude dead cells. Acquisition was done on a FACSCalibur flow cytometer (Becton Dickinson). Results are expressed as Median Fluorescence Intensity (MFI) values (arbitrary units). Negative controls for FACS analysis (control isotypes PE and FITC) are shown : Isot Ctr PE and FITC.

Cytokine detection :

At time points 6h and 24h, culture supernatants were collected and stored at -80°C . After thawing they were assayed by ELISA for IL-12p70 and IL-10 secretion by commercial ELISA, performed using antibody pairs from R&D Systems Europe (Abingdon, UK) according to manufacturer's instructions. Lower limits of detection were 16 pg / ml for IL-12p70, and 31 pg / ml for IL-10.

Results :

Results are presented in figures 7A- CD14 and CD83 expression;

7B- HLA-ABC and CD86 expression;

7C- Cytokines secretion.

After 6h of incubation with FMKp and IFN γ (white bars), dendritic cells present immature cells characteristics :

- Cells are CD14 positive, and in this example they are CD83 positives; in some cases they can be CD83 negatives.
- Expression of HLA-ABC is lower than 1500 and expression of CD86 is lower than 500 (arbitrary values).
- The secretion of IL-12p70 is low, 635 pg/ml/ 10^6 cells. At this time point no IL-10 is detected.

After wash and further culture until 24h (black bars), cells present mature characteristics :

- CD14 expression is nearly suppressed, CD83 expression has been slightly upregulated.
- The expression of HLA-ABC has been multiplied by about three times (673 before the culture to 1928 after the culture) and the expression of CD86 is more than four times higher after the 18 hours culture (267 before to 1165 after).
- IL-12p70 secretion has increased dramatically, about twenty times more than before the culture (635 at time point 6h to 13953 pg/ml/ 10^6 cells at time point 24h), while IL-10 secretion remains lower than 3000 pg/ml.

In conclusion, dendritic cells irreversibly triggered to maturation acquire mature characteristics during the 21 hours culture period, even in absence of maturation factors, after they have been incubated for 6h with FMKp + IFN γ and washed.

Example 8 : IL-10 secretion by dendritic cells requires a long time incubation of cells in presence of FMKp + IFN γ

Immature dendritic cells were treated with FMKp (1 μ g/ml) and IFN- γ (500 U/ml) for 3 h, 6h, or 40h. Cells stimulated 3h or 6h were washed and either analysed at time point 3 or 6 hours, or further cultivated in medium without any maturation factor, and IL-10 secretion was then measured at time point 24 hours. IL-10 secretion was assayed by ELISA as previously described.

Results are presented on figure 8.

After 3 hours of treatment, no IL-10 was detected. After 6 hours, 271 pg/ml/ 10^6 cells of IL-10 were detected in the supernatant. After further culture in medium without any maturation factor or cytokine until time point 24h, IL-10 secretion remains low, in any case lower than 4000 pg/ml.

On contrary incubation for 40h with FMKp and IFN γ leads to a dramatic induction of IL-10 secretion : about 9000 pg/ml after 40 hours of stimulation are measured in culture supernatants.

As previously demonstrated in example 4 with the association Ribomunyl^R + IFN γ , short times of incubation in the presence of FMKp and IFN γ lead to limited IL-10 secretion during the maturation process.

Exemple 9 : Loading of dendritic cells with melanoma cell lysate followed by freezing / thawing steps do not perturb the maturation process:

Production of melanoma cell lysate :

Melanoma cells from the COLO829 cell line (ATCC number CRL-1974) were harvested, washed 2 times in sterile PBS and resuspended in sterile PBS at a concentration of 5×10^7 cells/ml. Four cycles of freezing and thawing (F/T) were applied and the lysate was sonicated in a Misonix cup horn sonicator[®] (4 pulses of 2 mn followed by 1 cycle of 5 mn at maximal power). The lysate was then centrifuged in a microfuge tube 5 mn at 5000 rpm and the supernatant was aliquoted and stored at -80°C.

Cell loading :

Immature dendritic cells were diluted in complete AIMV medium at a concentration of 2×10^6 cells/ml and tumor lysate was added at a ratio of 0,5 tumor cell equivalent/DC. Cells were then incubated overnight in EVA bags (Stedim) at 37°C.

5

Maturation was performed as described in example 7, with FMKp (1 µg/ml) and IFN-γ (500 U/ml) for 6 h.

Freezing and Thawing :

10 Loaded dendritic cells irreversibly triggered to maturation were washed in elutriation solution (PBS-Glucose, B. Braun Medical S.A.) in bags (centrifugation at 1600 rpm, 25 mn at 4°C without brake) and the pellet was resuspended and centrifuged in tubes (1400 rpm, 10 mn at 4°C). They were frozen in 4% albumin (90%) / DMSO (10%) at a concentration of 5×10^6 cells/ml in cryobags.

15 Cells were then thawed in elutriation solution supplemented with 0,93% Albumin and 3,5% ACD-A (B. Braun Medical S.A.) to avoid cell aggregates, and centrifuged at 1600 rpm, 25 mn at 4°C without brake.

Incubation in syringe and final culture:

20 Freshly thawed cells were centrifuged in tubes and resuspended in Albumin 4% at a concentration of 20×10^6 cells/ml, and incubated for 2h at 4°C in 1 ml syringes. Cells were then passed through a 25G needle after shaking of the syringes (because cells formed sediments), and cultured overnight in complete AIMV medium at a concentration of 2×10^6 cells/ml in 24-well plates.

25

Cytokine secretions were assayed by ELISA as previously described, just after 6h incubation with FMKp and IFNγ, and after the final overnight culture in AIMV medium.

Results :

30 Results are presented in figure 9.

After 6h incubation with maturation stimuli (white bars), loaded dendritic cells secrete 2222 pg/ml/ 10^6 cells of IL-12p70 and 59 pg/ml/ 10^6 cells of IL-10. IL-12 secretion has already started although IL-10 secretion is not yet induced.

After a freezing/ thawing step, cells are cultured without any maturation stimuli for one night, making the dendritic cells which have been irreversibly triggered to maturation finalize their maturation process (black bars). As expected, secretion of IL-12p70 becomes 4 times more important than the IL-12p70 secretion measured before 18 hours of culture (about 10 000
5 pg/ml/10⁶ cells after the final culture), although IL-10 secretion remains low, in fact lower than 1200 pg/ml.

We conclude that induction of high secretion of IL-12p70 by 6h incubation of cells with FMKp and IFN γ is effective, even if cells have been previously loaded with antigens, and
10 even if said cells have been frozen after the 6h incubation with maturation stimuli.
Dendritic cells irreversibly triggered to maturation have the property to resume maturation after an arrest in the maturation process, when cultivated in appropriate conditions.

REFERENCES

Banchereau et al., « Immunobiology of dendritic cells », 2000, Ann. Rev. Immunol.,
5 18:767-811)

Boyer et al., « Generation of phagocytic MAK and MAC-DC for therapeutic use :
Characterization and in vitro functional properties », 1999, Exp. Hematology, vol 27, p 751-
761.

Hart et al., "Dendritic cells: unique leucocyte populations which control the primary
10 immune response", 1997, Blood, vol 90, p 3245).

Kuppner et al., "The role of Hsp70 in dendritic cell maturation: Hsp70 induces the
maturation of immature dendritic cells but reduces DC differentiation from monocyte
precursors", 2001, Eur. J. Immunol., 31:1602-1609.

WO 94/26875 : NEW MACROPHAGES, PROCESS FOR PREPARING THE SAME
15 AND THEIR USE AS ACTIVE SUBSTANCES OF PHARMACEUTICAL
COMPOSITIONS

WO 96/22781 : METHOD FOR PREPARING MACROPHAGES, AND KITS AND
COMPOSITIONS THEREFOR

WO 97/44441 : NEW ANTIGEN PRESENTING CELLS, A PROCESS FOR
20 PREPARING THE SAME AND THEIR USE AS CELLULAR VACCINES

Claims

1- Dendritic cells irreversibly triggered to maturation,

5 which are CD14 positive,
 which express MHC class I with a median fluorescence intensity less than about 1500
 and CD86 with a median fluorescence intensity less than about 500, as determined by
 immunofluorescence staining and flow cytometry analysis,

10 2- Dendritic cells according to claim 1 which secrete less than about 3000 pg/ ml of IL-12p70
 and less than about 500 pg/ ml of IL-10, as determined by Elisa assay for 10^6 cells/ml.

3- Dendritic cells according to claims 1 or 2,

15 which express MHC class I with a median fluorescence intensity less than about 700
 and CD86 with a median fluorescence intensity less than about 300, as determined by
 immunofluorescence staining and flow cytometry analysis,
 and which secrete less than about 80 pg/ ml of IL-12p70 and less than about 300 pg/
 ml of IL-10, as determined by Elisa assay for 10^6 cells/ml.

20 4-. Dendritic cells according to anyone of claims 1 to 3, which are CD83 negative.

5- Dendritic cells according to claims 3 or 4, presenting the following characteristics:

 CD83 expression with a median fluorescence intensity from about 3 to about 20

 CD14 expression with a median fluorescence intensity from about 20 to about 100

25 MHC Class I expression with a median fluorescence intensity from about 400 to about
 700

 CD86 expression with a median fluorescence intensity from about 100 to about 300

as determined by immunofluorescence staining and flow cytometry analysis, and:

 IL-12p70 secretion of 1 to about 80 pg/ml

30 IL-10 secretion of about 15 to about 300 pg/ml.

as determined by ELISA assay, for a total of 10^6 cells/ml.

6- Dendritic cells according to anyone of claims 1 to 5 which originate from immature
dendritic cells derived from blood monocytes cultured for 1 to 16 hours, preferably 6 hours.

7- Dendritic cells according to anyone of claims 1 to 6, which have the properties that they can be arrested in their maturation process, and to resume maturation after this arrest when cultivated in appropriate conditions.

5

8- Dendritic cells according to anyone of claims 1 to 7 which have the properties of becoming mature *in vitro* in a culture medium containing no maturing factors and no cytokines, for a sufficient culture time.

10 9- Dendritic cells according to anyone of claims 1 to 7, which have the properties of becoming mature *in vivo* after injection to a patient.

10- Dendritic cells according to anyone of claims 1 to 9 , which have been loaded with a drug, a nucleic acid or an antigen of interest, for example a tumoral antigen.

15

11- Dendritic cells according to claim 10 which have been loaded with lysates of tumor cells, in particular melanoma tumor cell lines.

12- Dendritic cells according to anyone of claims 1 to 11 , which promote the development of T helper CD4+ T cells, and which activate cytotoxic CD8+ T lymphocytes specific for an antigen, after previous contact between said antigen and phagocytizing dendritic cells.

20

13- Composition of dendritic cells according to anyone of claims 1 to 12, under frozen form in an appropriate cryopreservative medium.

25

14- Process for obtaining dendritic cells irreversibly triggered to maturation, which are CD14 positive,

which express MHC class I with a median fluorescence intensity inferior to about 1500 and CD86 with a median fluorescence intensity inferior to about 500, as

30

determined by immunofluorescence staining and flow cytometry analysis,

comprising a step of contacting immature dendritic cells derived from blood monocytes and incubating them for 1 to 16 hours, preferably 6 h, with a combination of two factors :

a- Cytokine or agonist of cytokine or cytokine inducing factor,

and b- Bacterial mixture of membrane fractions and/or ribosomal fractions, or ligand or an agonist, said ligand or its agonist being different from a cytokine.

15- Process according to claim 14 for obtaining dendritic cells irreversibly triggered to maturation,

which express MHC class I with a median fluorescence intensity inferior to about 700 and CD86 with a median fluorescence intensity inferior to about 300, as determined by immunofluorescence staining and flow cytometry analysis,

and which secrete less than about 80 pg/ ml of IL-12p70 and less than about 300 pg/ ml of IL-10 as determined by Elisa assay for 10^6 cells/ml.

16- Process according to claims 14 or 15 where obtained dendritic cells are CD83 negative.

17- Process according to anyone of claims 14 to 16 , wherein the cytokine is IFN γ , or wherein the cytokine inducing factor is poly I:C.

18- Process according to anyone of claims 14 to 16 wherein the bacterial mixture of membrane fractions and/or ribosomal fractions is a membrane subfraction of one strain of bacteria.

19- Process according to claim 18 wherein the membrane subfraction is a purified protein obtained from said membrane subfraction.

20- Process according to claim 18, wherein the bacterial mixture of membrane and/or ribosomal fractions is Ribomunyl^R, and the membrane subfraction is FMKp (*Klebsiella pneumoniae* membrane fraction).

21- Process according to anyone of claims 14 to 20, wherein the cytokine is IFN γ and the membrane subfraction is FMKp.

22- Process according to claim 21 wherein the used concentration of IFN γ is about 500 U/ml and the used concentration of FMKp is about 1 μ g/ml.

23- Process according to anyone of claims 14 to 16, wherein the ligand is an antibody anti-CD40 or a CD40 ligand.

24- Process according to anyone of claims 14 to 16, wherein the ligand is an inducible Heat shock protein 70 or isolated polypeptide sequences from it.

25- Dendritic cells irreversibly triggered to maturation such as obtained by the process according to anyone of claims 14 to 24.

26- Mature dendritic cells which have a secretion of IL-12p70 higher than secretion of IL-10.

27- Mature dendritic cells which secrete more than about 1000 pg/ ml of IL-12p70 and less than about 100 pg/ ml of IL-10 (as determined by Elisa assay for 10^6 cells/ml) for at least 24 hours, and stimulate Th1 and cytotoxic immune response.

28- Process for preparing mature dendritic cells from irreversibly triggered dendritic cells according to anyone of claims 1 to 12 or to claim 25, which comprises a step of culture of said irreversibly triggered dendritic cells without exogenous maturation factor nor cytokine added, *in vitro* or *in vivo*.

29- Mature dendritic cells such as obtained by the process according to claim 27.

30. Pharmaceutical composition containing as active substance dendritic cells irreversibly triggered to maturation, according to anyone of claims 1 to 12 or to claim 25, having interiorised antigens, preferably vaccinal antigens, in association with a pharmaceutically acceptable vehicle.

31. Cellular vaccine composition containing as active substance dendritic cells irreversibly triggered to maturation according to anyone of claims 1 to 12 or claim 25, in a amount of about 10^4 to about 10^9 , and preferably about 10^5 to about 10^7 of said cells per vaccinal dose.

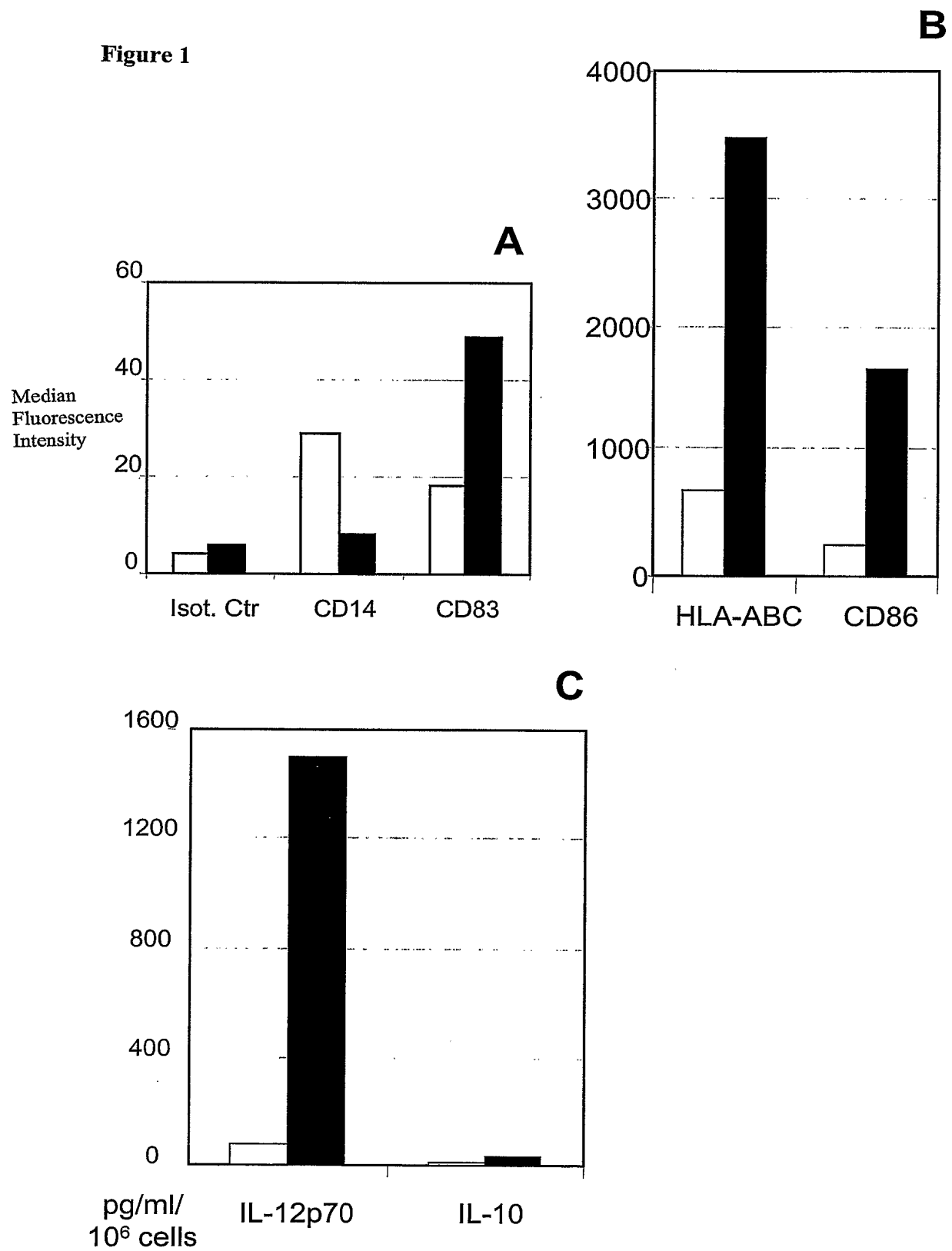
Figure 1

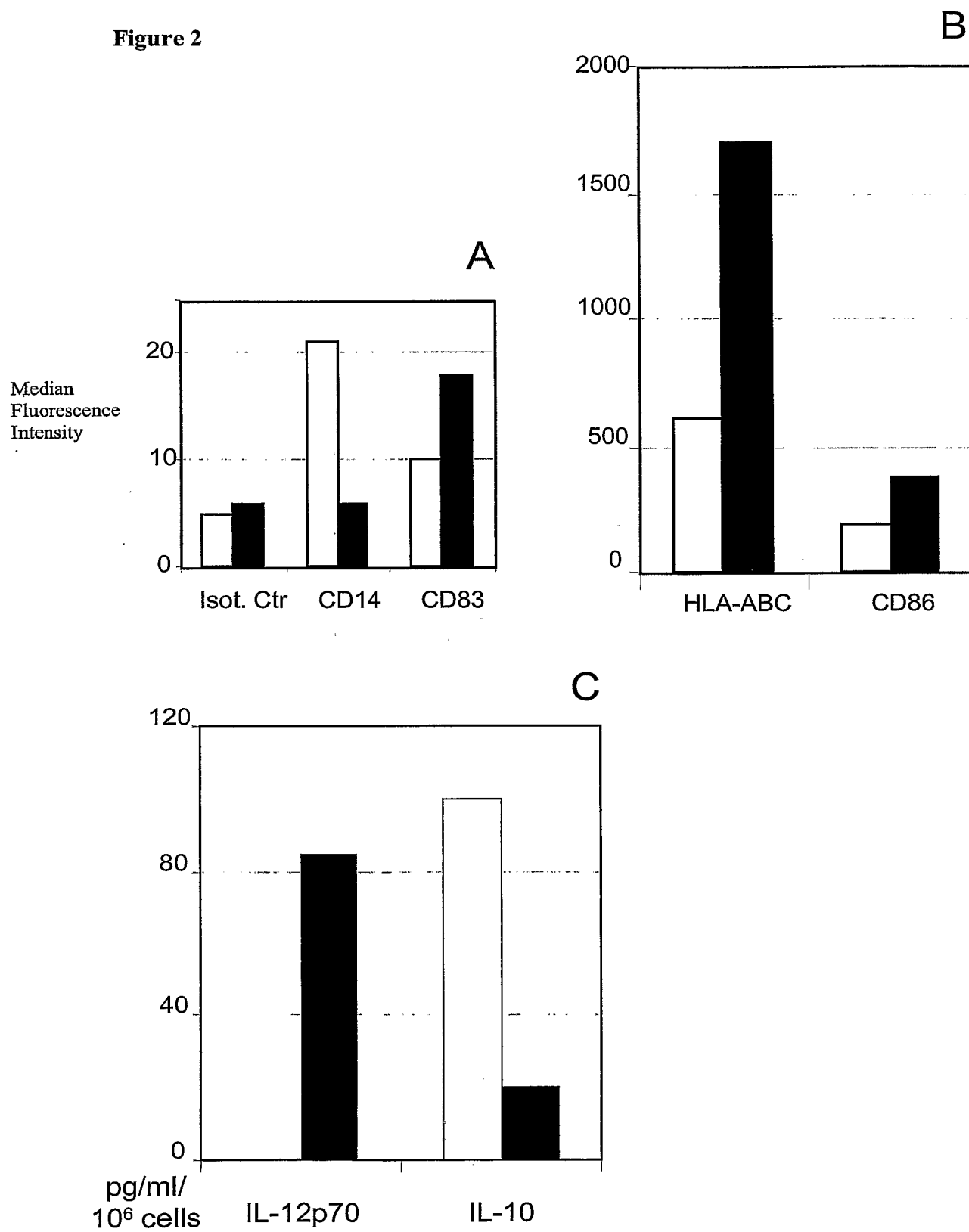
Figure 2

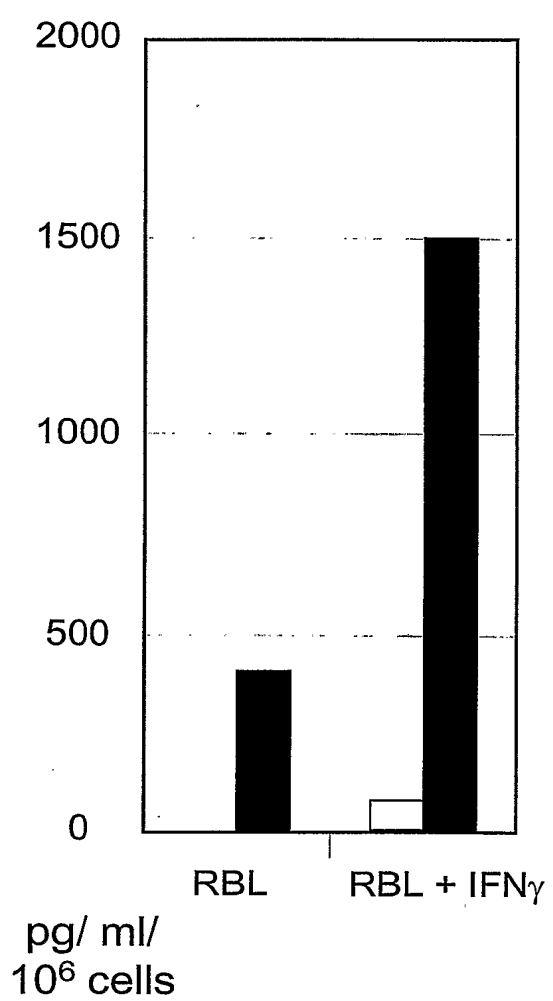
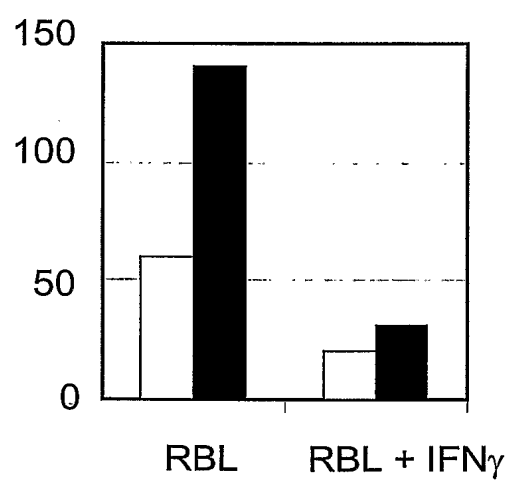
Figure 3**A- IL-12p70 secretion****B- IL-10 secretion**

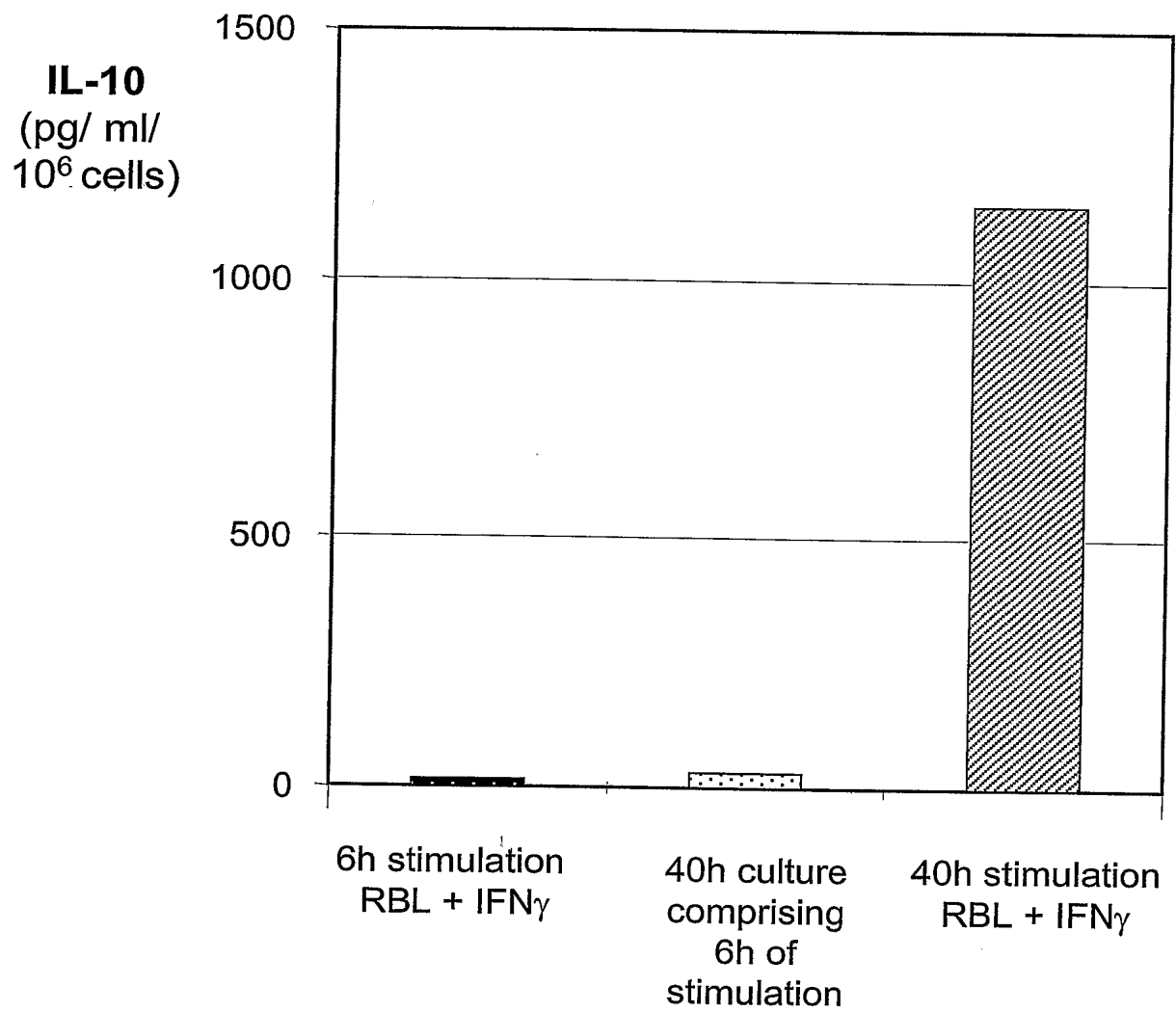
Figure 4

Figure 5

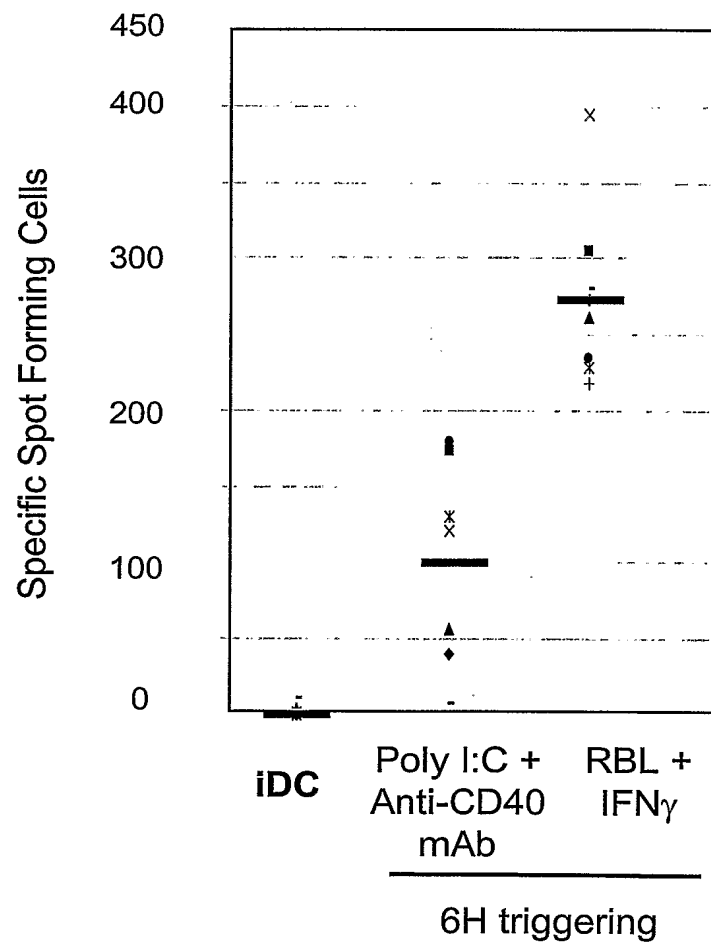


Figure 6

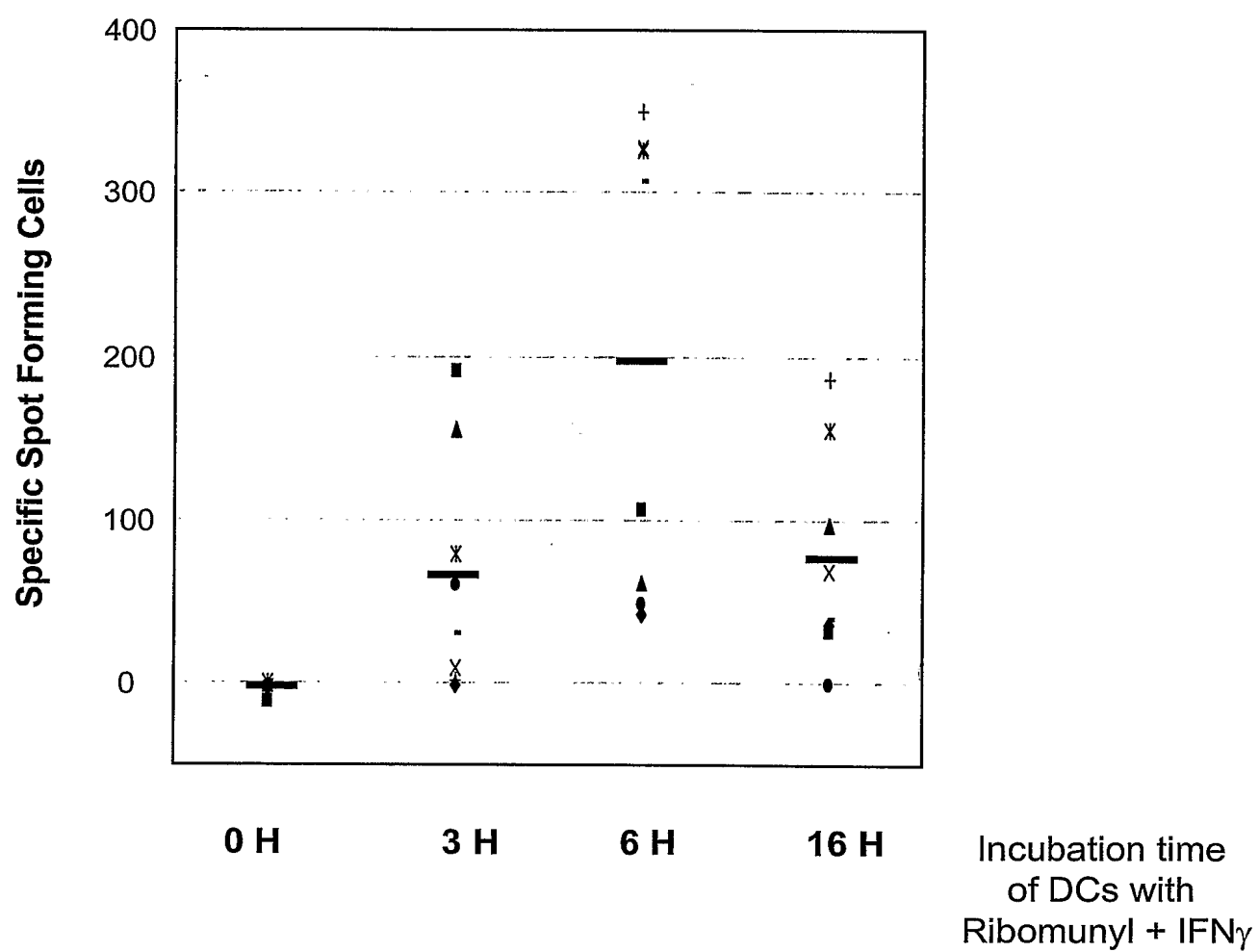


Figure 7

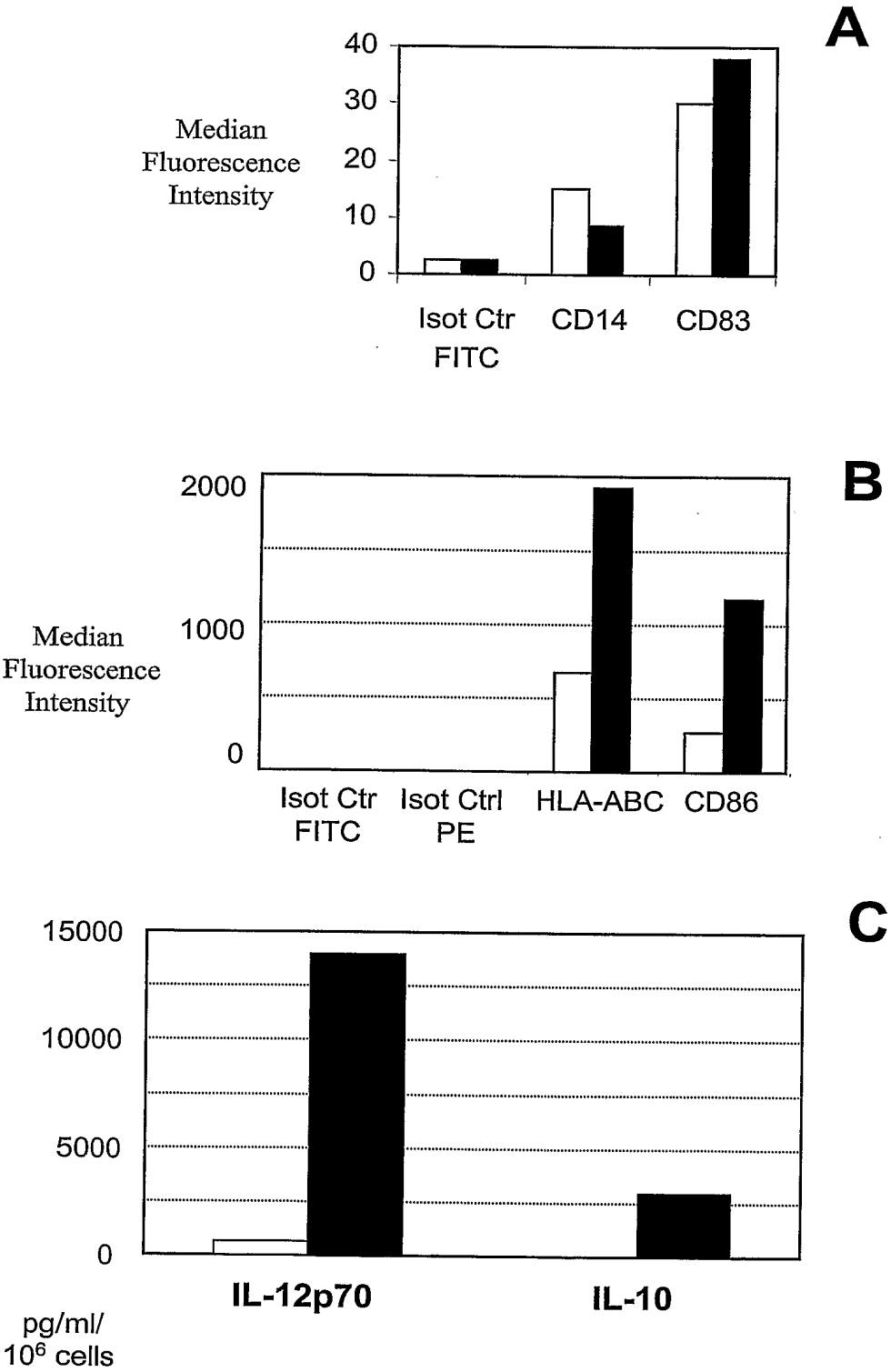


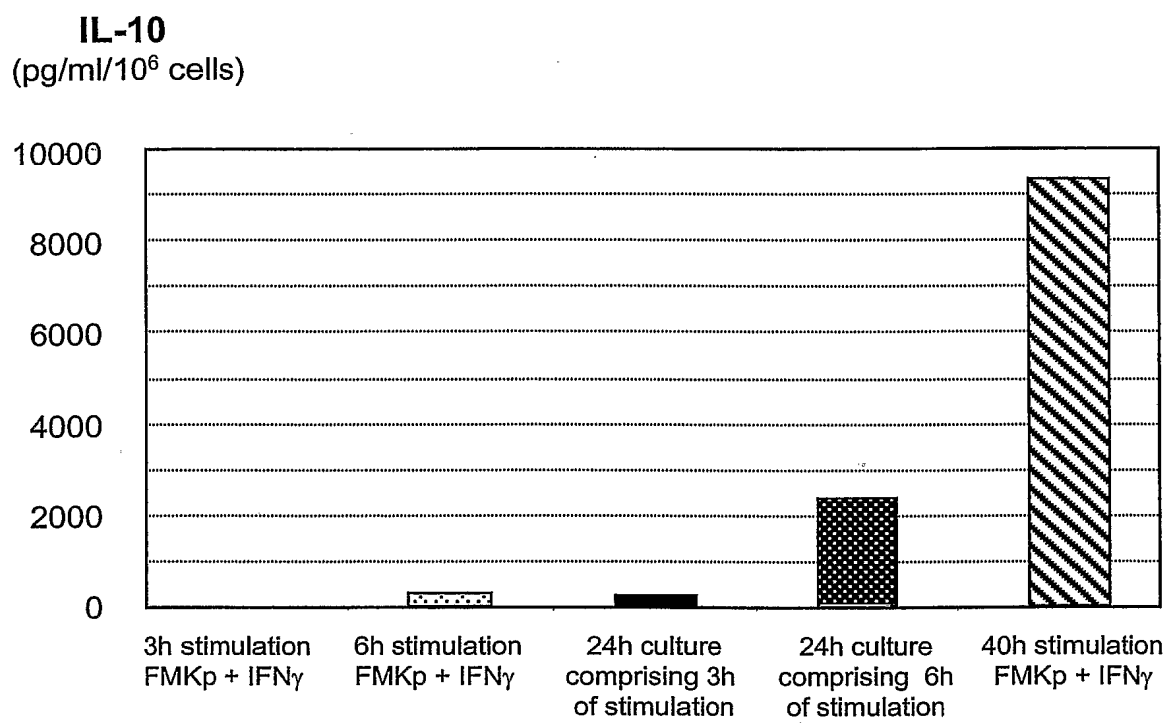
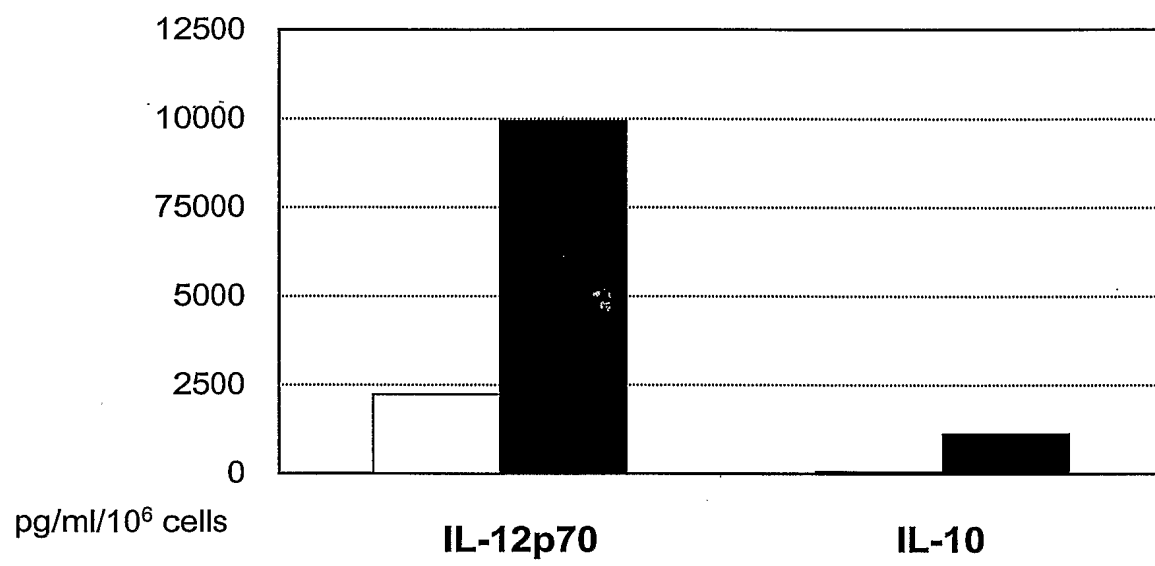
Figure 8

Figure 9

INTERNATIONAL SEARCH REPORT

International Application No

PCT/EP 02/05411

A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 C12N5/06

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 C12N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, BIOSIS, MEDLINE, WPI Data, PAJ, EMBASE, SCISEARCH

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	HILKENS CATHARIEN M U ET AL: "Human dendritic cells require exogenous interleukin-12-inducing factors to direct the development of naive T-helper cells toward the Th1 phenotype." BLOOD, vol. 90, no. 5, 1997, pages 1920-1926, XP002213981 ISSN: 0006-4971	1-19
Y	the whole document --- -/--	20-31

☒ Further documents are listed in the continuation of box C.☒ Patent family members are listed in annex.

* Special categories of cited documents :

- *A* document defining the general state of the art which is not considered to be of particular relevance
- *E* earlier document but published on or after the international filing date
- *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- *O* document referring to an oral disclosure, use, exhibition or other means
- *P* document published prior to the international filing date but later than the priority date claimed

- *T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- *X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- *Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- * & * document member of the same patent family

Date of the actual completion of the international search

20 September 2002

Date of mailing of the international search report

10/10/2002

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,
Fax: (+31-70) 340-3016

Authorized officer

Novak, S

INTERNATIONAL SEARCH REPORT

International Application No

PCT/EP 02/05411

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	DE SMEDT THIBAUT ET AL: "Effect of interleukin-10 on dendritic cell maturation and function." EUROPEAN JOURNAL OF IMMUNOLOGY, vol. 27, no. 5, 1997, pages 1229-1235, XP002213982 ISSN: 0014-2980	1-19
Y	abstract; figures 1,4; table I ----	20-31
X	KALINSKI P ET AL: "Prostaglandin E2 induces the final maturation of IL-12-deficient CD1a+ CD83+ dendritic cells: the levels of IL-12 are determined during the final dendritic cell maturation and are resistant to further modulation" JOURNAL OF IMMUNOLOGY, THE WILLIAMS AND WILKINS CO. BALTIMORE, US, vol. 161, no. 6, 1998, pages 2804-2809, XP002136508 ISSN: 0022-1767	1-19
Y	abstract; figures 2-4	20-31
X	-& KALINSKI P ET AL.: "Final maturation of dendritic cells is associated with impaired responsiveness to IFN-gamma and to bacterial IL-12 inducers" THE JOURNAL OF IMMUNOLOGY, vol. 162, 1999, pages 3231-3236, XP002213986	1-19
Y	the whole document ----	20-31
X	GOXE B ET AL: "SIMPLIFIED METHOD TO GENERATE LARGE QUANTITIES OF DENDRITIC CELLS SUITABLE CLINICAL APPLICATIONS" IMMUNOLOGICAL INVESTIGATIONS, MARCEL DEKKER, NEW YORK, NY, US, vol. 29, no. 3, August 2000 (2000-08), pages 319-336, XP001022582 ISSN: 0882-0139	1-16
Y	the whole document ----	17-31
X	LUFT T ET AL: "Type I IFNs enhance the terminal differentiation of dendritic cells." JOURNAL OF IMMUNOLOGY (BALTIMORE, MD.: 1950) UNITED STATES 15 AUG 1998, vol. 161, no. 4, 15 August 1998 (1998-08-15), pages 1947-1953, XP002213983 ISSN: 0022-1767	1-16
Y	abstract; figures 1-6; tables I,II ----- -/--	17-31

INTERNATIONAL SEARCH REPORT

International Application No

PCT/EP 02/05411

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	<p>CLOT J: "PHARMACOLOGY OF RIBOSOMAL IMMUNOTHERAPY" DRUGS, ADIS INTERNATIONAL LTD, AT, vol. 54, no. SUPPL 1, 22 May 1997 (1997-05-22), pages 33-36, XP000995073 ISSN: 0012-6667 the whole document</p> <p>---</p>	1-31
Y	<p>BOYER A ET AL: "GENERATION OF PHAGOCYTIC MAK AND MAC-DC FOR THERAPEUTIC USE: CHARACTERIZATION AND IN VITRO FUNCTIONAL PROPERTIES" EXPERIMENTAL HEMATOLOGY, NEW YORK, NY, US, vol. 27, no. 4, April 1999 (1999-04), pages 751-761, XP000993375 ISSN: 0301-472X the whole document</p> <p>---</p>	1-31
Y	<p>LAPORTE REJEAN ET AL: "Human dendritic cells require multiple activation signals for the efficient generation of tumor antigen-specific T lymphocytes." EUROPEAN JOURNAL OF IMMUNOLOGY, vol. 30, no. 11, November 2000 (2000-11), pages 3291-3298, XP002213984 ISSN: 0014-2980 the whole document</p> <p>---</p>	1-31
Y	<p>KUPPNER M C ET AL: "THE ROLE OF HEAT SHOCK PROTEIN (HSP70) IN DENDRITIC CELL MATURATION: HSP70 INDUCES THE MATURATION OF IMMATURE DENDRITIC CELLS BUT REDUCES DC DIFFERENTIATION FROM MONOCYTE PRECURSORS" EUROPEAN JOURNAL OF IMMUNOLOGY, WEINHEIM, DE, vol. 31, no. 5, 2001, pages 1602-1609, XP001022729 ISSN: 0014-2980 the whole document</p> <p>---</p>	1-31
A	<p>MATASIC R ET AL: "DEXAMETHASONE INHIBITS DENDRITIC CELL MATURATION BY REDIRECTING DIFFERENTIATION OF A SUBSET OF CELLS" JOURNAL OF LEUKOCYTE BIOLOGY, FEDERATION OF AMERICAN SOCIETIES FOR EXPERIMENTAL, US, vol. 66, no. 6, December 1999 (1999-12), pages 909-914, XP001022470 ISSN: 0741-5400</p> <p>---</p> <p style="text-align: center;">-/--</p>	

INTERNATIONAL SEARCH REPORT

International Application No

PCT/EP 02/05411

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	<p>PIEMONTE L ET AL: "Glucocorticoids affect human dendritic cell differentiation and maturation" JOURNAL OF IMMUNOLOGY, WILLIAMS & WILKINS CO, US, vol. 162, no. 11, 1 June 1999 (1999-06-01), pages 6473-6481, XP002159692 ISSN: 0022-1767</p> <p>----</p>	
A	<p>SURI RAKESH M ET AL: "Bacterial lipopolysaccharide contamination of commercial collagen preparations may mediate dendritic cell maturation in culture" JOURNAL OF IMMUNOLOGICAL METHODS, ELSEVIER SCIENCE PUBLISHERS B.V.,AMSTERDAM, NL, vol. 214, no. 1-2, 1 May 1998 (1998-05-01), pages 149-163, XP002182819 ISSN: 0022-1759</p> <p>----</p>	
A	<p>EP 0 808 897 A (IDM IMMUNO DESIGNED MOLECULES) 26 November 1997 (1997-11-26)</p> <p>----</p>	
A	<p>MALDONADO-LOPEZ R ET AL: "Dendritic cell subsets and the regulation of Th1/Th2 responses" IMMUNOLOGY, BLACKWELL SCIENTIFIC PUBLICATIONS, GB, vol. 13, 2001, pages 275-282, XP002182820 ISSN: 0019-2805</p> <p>----</p>	
T	<p>BOCCACCIO CLAIRE ET AL: "Identification of a clinical-grade maturation factor for dendritic cells." JOURNAL OF IMMUNOTHERAPY, vol. 25, no. 1, January 2002 (2002-01), pages 88-96, XP001104934 ISSN: 1524-9557</p> <p>-----</p>	

INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/EP 02/05411

Patent document cited in search report		Publication date		Patent family member(s)		Publication date
EP 0808897	A	26-11-1997	EP	0808897 A1		26-11-1997
			AU	732536 B2		26-04-2001
			AU	2961597 A		09-12-1997
			CA	2252505 A1		27-11-1997
			WO	9744441 A1		27-11-1997
			EP	0925356 A1		30-06-1999
			JP	2000503545 T		28-03-2000
<hr/>						